

Case Examiner Decision

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FTPS-24016

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The role of the case examiners

The case examiners perform a filtering function in the fitness to practise process, and their primary role is to determine whether the case ought to be considered by adjudicators at a formal hearing. The wider purpose of the fitness to practise process is not to discipline the social worker for past conduct, but rather to consider whether the social worker's current fitness to practise might be impaired because of the issues highlighted. In reaching their decisions, case examiners are mindful that Social Work England's primary objective is to protect the public.

Case examiners apply the 'realistic prospect' test. As part of their role, the case examiners will consider whether there is a realistic prospect:

- the facts alleged could be found proven by adjudicators.
- adjudicators could find that one of the statutory grounds for impairment is engaged.
- adjudicators could find the social worker's fitness to practise is currently impaired.

If the case examiners find a realistic prospect of impairment, they consider whether there is a public interest in referring the case to a hearing. If there is no public interest in a hearing, the case examiners can propose an outcome to the social worker. We call this accepted disposal and a case can only be resolved in this way if the social worker agrees with the case examiners' proposal.

Case examiners review cases on the papers only. The case examiners are limited, in that, they are unable to hear and test live evidence, and therefore they are unable to make findings of fact.

Decision summary

Decision summary	
Preliminary outcome	15 April 2025
	Accepted disposal proposed - warning order (1 year)
Final outcome	17 April 2025
	Accepted disposal - warning order (1 year)

Executive summary

The case examiners have reached the following conclusions:

1. There is a realistic prospect of regulatory concern 1 being found proven by the adjudicators.
2. There is a realistic prospect of regulatory concern 1 being found to amount to the statutory ground of conviction or caution in the United Kingdom for a criminal offence.
3. For regulatory concern 1, there is a realistic prospect of adjudicators determining that the social worker's fitness to practise is currently impaired.

The case examiners did not consider it to be in the public interest for the matter to be referred to a final hearing and that the case could be concluded by way of accepted disposal.

As such, the case examiners requested that the social worker be notified of their intention to resolve the case with a warning order of 1 year. The social worker responded on 17 April 2025, confirming their acceptance of the case examiners' proposal.

The case examiners have considered all of the documents made available within the evidence bundle. Key evidence is referred to throughout their decision and the case examiners' full reasoning is set out below.

Anonymity and redaction

Elements of this decision have been marked for redaction in line with our Fitness to Practise Publications Policy. Text in [REDACTED] will be redacted in the published copy of the decision.

The complaint and our regulatory concerns

The initial complaint

The complainant	The complaint was raised by way of a self-referral.
Date the complaint was received	17 October 2024 and 16 December 2024.
Complaint summary	The social worker initially referred themselves via the renewals process by making a safe and effective practice declaration, then the social worker subsequently made a self-referral after receiving the results of their blood test and receiving a court date.

Regulatory concerns

1. On the 5 December 2024 you were convicted of an offence of driving a motor vehicle whilst over the prescribed limit of alcohol.

The matters outlined in regulatory concern (1) amount to the statutory ground of conviction or caution in the United Kingdom for a criminal offence.

Your fitness to practise is impaired by reason of conviction or caution in the United Kingdom for a criminal offence.

Preliminary issues

Investigation		
Are the case examiners satisfied that the social worker has been notified of the grounds for investigation?	Yes	☒
	No	☐
Are the case examiners satisfied that the social worker has had reasonable opportunity to make written representations to the investigators?	Yes	☒
	No	☐
Are the case examiners satisfied that they have all relevant evidence available to them, or that adequate attempts have been made to obtain evidence that is not available?	Yes	☒
	No	☐
Are the case examiners satisfied that it was not proportionate or necessary to offer the complainant the opportunity to provide final written representations; or that they were provided a reasonable opportunity to do so where required.	Yes	☒
	No	☐

Requests for further information or submissions, or any other preliminary issues that have arisen

The realistic prospect test

Fitness to practise history

The case examiners have been informed that there is no previous fitness to practise history.

Decision summary

Is there a realistic prospect of the adjudicators finding the social worker's fitness to practise is impaired?

Yes



No



The case examiners have determined that there is a realistic prospect of regulatory concern 1 being found proven, that this concern could amount to the statutory ground of conviction or caution in the United Kingdom for a criminal offence, and that the social worker's fitness to practise could be found impaired.

Reasoning

Facts and Grounds

1. On the 5 December 2024 you were convicted of an offence of driving a motor vehicle whilst over the prescribed limit of alcohol.

The matters outlined in regulatory concern (1) amount to the statutory ground of conviction or caution in the United Kingdom for a criminal offence.

The case examiners have seen the social worker's initial self-referral, along with the MG5, and court extract dated 5 December 2024 from Derby Magistrates' Court.

The MG5 states that the police were called to a road traffic collision and upon arrival, the social worker was in the driver's seat. Due to suspicion around the social worker's alcohol intake, a breathalyser test was completed. The test showed as 'failed', but no reading was given. The social worker was then arrested and taken to a hospital for the drink drive bloods procedure to be completed. The forensic report, when returned,

showed the sample provided from the social worker contained not less than 153 milligrams of alcohol per 100 millilitres of blood. The legal limit being 80 milligrams of alcohol per 100 millilitres of blood.

The court extract dated 5 December 2024 states that the social worker was convicted for 'drive motor vehicle when alcohol level above limit'.

The social worker in their submissions accepts this concern.

The evidence suggests that the social worker was convicted of the offence as set out in the regulatory concern.

The case examiners are satisfied there is a realistic prospect of adjudicators finding this concern proven. Furthermore, the case examiners are satisfied there is a realistic prospect of adjudicators finding that this amounts to the statutory ground of conviction or caution in the United Kingdom for a criminal offence.

Impairment

Personal element of impairment

In considering the personal element of impairment, the case examiners have considered the test as set out in the case examiner guidance (2022), namely whether the conduct is remediable; whether the social worker has undergone remediation and demonstrated insight; and whether there is a likelihood the matters alleged will be repeated.

The social worker has shown significant insight into their alleged conduct. They expressed remorse and took responsibility for their actions and their insight appears to have been developing from an early stage, as they reported the matter promptly to the regulator. Whilst the social worker has completely accepted their actions were unacceptable, they have provided some context in which the conduct occurred. The social worker advised that they had been on an evening out, consumed four drinks, and had booked a taxi to transport them home. However, shortly before the taxi was due, the taxi company cancelled the booking. The social worker attempted to make another taxi booking for around an hour, however, was unsuccessful. The social worker subsequently contacted a friend, who lived close by, who agreed that they were able to stay the night, but the friend was unable to collect them. The social worker advised that they then took the decision, which they regret, to drive a mile to their friend's home.

The social worker has provided full and deep insight into the incident. They state, '*on a personal level it has been very difficult for me to come to terms with my actions on this night*' and '*I take full responsibility for the incident, and I am ashamed and extremely*

remorseful for the incident'. The social worker recognises the wider impact on the public and public confidence as they state, 'I recognise that my actions on that night put both myself and others at risk', and 'I have always held strong in my beliefs that a social worker should be law abiding.' Further, they state, *'I understand that I am accountable to the people who draw on care and support, the public, Social Work England and my employer'.*

The social worker has shown remorse into their actions and did so from an early stage, as they self-referred to the regulator and has engaged in the regulatory process.

In terms of remediation, the case examiners note that the social worker was offered and took up the option of reducing their driving ban on completion of a drink awareness course, although this was not mandatory. The case examiners have seen confirmation that this has been completed. The case examiners note the social worker has provided extensive reflection and evidence of what they learnt from the course and has taken action and considered how they may prevent a similar situation occurring in the future.

Taking all of the above into consideration, the case examiners have concluded that whilst the alleged conduct was serious, the social worker has demonstrated insight and reflection and undertaken remediation. Therefore, the case examiners are of the view that the risk of repetition is low.

Public element of impairment

The case examiners next considered whether the social worker's actions have the potential to undermine public confidence in the social work profession, or the maintenance of proper standards for social workers. Public interest includes the need to uphold proper standards of conduct and behaviour and the need to maintain the public's trust and confidence in the profession.

The case examiners, when assessing the public interest, have had regard to the drink and drug driving policy (December 2022) which guides the case examiners to consider aggravating and mitigating factor when assessing how seriously the public would view the alleged conduct. In the absence of any aggravating factors, the guidance suggests that it would be unlikely that a finding of impairment or sanction would be imposed.

In this instance, the case examiners have identified the following aggravating factors:

- The social worker received a driving ban of 18 months, which was to be reduced by 18 weeks following the completion of a drink awareness course.
- There was a road traffic collision, with a parked vehicle.

- The social worker's level of alcohol was significantly over the legal prescribed limit.

The case examiners have then considered the following mitigating factors:

- This evidence suggests that this was the social worker's first offence.
- The social worker has demonstrated remorse and insight into their behaviour.
- The social worker has undertaken some remediation in the form of attending a drink awareness course, and there is evidence of what learning they have taken from this.
- The social worker has no previous adverse fitness to practise history.

Although the case examiners are satisfied the social worker has learnt from the incident and is unlikely to repeat this conduct, they remind themselves that the social worker's actions had the potential to harm others. The case examiners accept that no harm was caused as a result of the social worker's actions. However, they are mindful of the case examiners guidance (December 2022) which states that potential harm should be considered as serious as actual harm. In this instance, the social worker could have harmed anyone on the road at the time.

The case examiners consider that members of the public may be concerned that the social worker took the decision to drive whilst the level of alcohol in their body was significantly over the legal limit, and they had a collision with a stationary vehicle.

The case examiners consider that in light of these aggravating factors to the case, public confidence in the social work profession and the regulator would be undermined if a finding of impairment were not made.

The case examiners are satisfied that there is a realistic prospect of the adjudicators making a finding of current impairment.

The public interest

Decision summary

Is there a public interest in referring the case to a hearing?	Yes	☐
	No	☒

Referral criteria

Is there a conflict in the evidence that must be resolved at a hearing?	Yes	☐
	No	☒
Does the social worker dispute any or all of the key facts of the case?	Yes	☐
	No	☒
Is a hearing necessary to maintain public confidence in the profession, and/or to uphold the professional standards of social workers?	Yes	☐
	No	☒

Additional reasoning

The case examiners have carefully considered whether a referral to a hearing may be necessary in the public interest. They note the social worker has accepted the key facts. However, the social worker has indicated to Social Work England that they do not accept their fitness to practise is currently impaired.

Where a social worker does not accept impairment, the case examiner guidance suggests that a referral to a hearing may be necessary in the public interest. However, the case examiners have noted the following:

- There is no conflict in evidence in this case and the social worker accepts the facts of the concern.
- The social worker is clear that they accept that their practice fell short of the standards expected of them. In their submissions to the regulator, the social worker stated, *'I take full responsibility for my actions, and I am ashamed and extremely remorseful for the incident'*.

- The case examiners are of the view that the risk of repetition is low, therefore the main purpose of any sanction would be to set out the expectations of social workers and to satisfy the public interest in this case.
- The case examiners recognise that not all professionals will have an innate understanding of how and when the public interest limb of impairment may be engaged, or how exactly this might impact upon findings concerning current fitness to practise.
- The accepted disposal process will provide the social worker an opportunity to review the case examiners reasoning on impairment and reflect on whether they are able to accept a finding of impairment. It is open to the social worker to reject any accepted disposal proposal and request a hearing if they wish to explore the question of impairment in more detail.

The case examiners are also of the view that the public would be satisfied to see the regulator take prompt, firm action in this case, with the publication of an accepted disposal decision providing a steer to the public and the profession on the importance of adhering to the professional standards expected of social workers in England.

The case examiners are aware that a case cannot be concluded through an accepted disposal process where a social worker does not agree that they are currently impaired. At this stage, the case examiners' proposal for an accepted disposal process does not mark the conclusion of the case, as that would require a response from the social worker for the case examiners' consideration and is also subject to a final review of the case by the case examiners, who may determine to send the matter to a hearing following any response received.

Interim order

An interim order may be necessary for protection of members of the public	Yes	☐
	No	☒
An interim order may be necessary in the best interests of the social worker	Yes	☐
	No	☒

Accepted disposal

Case outcome

Proposed outcome	No further action	☐
	Advice	☐
	Warning order	☒
	Conditions of practice order	☐
	Suspension order	☐
	Removal order	☐
Proposed duration	1 year	

Reasoning

In considering the appropriate outcome in this case, the case examiners had regard to Social Work England's sanctions guidance (December 2022) and reminded themselves that the purpose of sanction is not to punish the social worker but to protect the public and the wider public interest. They have also considered the drink and drug policy guidance (December 2022) which states, 'in determining a sanction, the decision makers should also take account the relevant aggravating and mitigating factors'.

In determining the most appropriate and proportionate outcome in this case, the case examiners considered the available options in ascending order of seriousness.

The case examiners considered taking no further action. They note paragraph 95 of the sanction's guidance which states, when decision makers find impairment, an outcome of 'no further action' is rare. However, this could be possible in cases where the finding of impairment itself is enough to protect the public or address the public interest. The guidance provides the example of when a social worker has accepted a caution.

Paragraph 103 of Social Work England's case examiner guidance states that 'the issuing of a caution acknowledges the admission of guilt and suggests the offence is of a lower severity.' In conjunction with the sanctions guidance, this suggests that criminal matters of lower severity may fall into the 'rare' category where a finding of impairment alone would be sufficient to protect the public or address the public interest. As drink driving offences are dealt with by way of conviction, it appears

reasonable to conclude that, in line with the case examiner and sanctions guidance documents, this is not likely to indicate the offence is of a 'lower severity' and therefore not a 'rare' instance. The case examiners therefore concluded that this would not be a rare instance where taking no further action would be appropriate.

The case examiners next considered whether offering advice would be sufficient in this case. An advice order will normally set out the steps a social worker should take to address the behaviour that led to the regulatory proceedings. However, the case examiners consider that advice would not be sufficient to mark the seriousness with which they view the social worker's alleged conduct.

The case examiners next gave careful consideration to whether a warning order might be suitable, given that it would show clear disapproval of the social worker's conduct. The case examiners concluded there is a low risk of repetition in this case, and their guidance suggests that warnings may be appropriate in such circumstances. The case examiners determined that a warning was the most appropriate and proportionate response in this case and was the minimum necessary to protect the public and the wider public interest. A warning will serve as a signal that any repetition of the behaviour that led to the concerns is highly likely to result in a more severe sanction.

In considering the duration of the warning, the case examiners have had regard to the sanctions' guidance which states, '1 year may be appropriate for an isolated incident of relatively low seriousness. In these cases, the primary objective of the warning is to highlight the professional standards expected of social workers. 3 years may be appropriate for more serious concerns. This helps to maintain public confidence and highlight the professional standards. The period also allows more time for the social worker to show that they have addressed any risk of repetition. 5 years may be appropriate for serious cases that have fallen only marginally short of requiring restriction of practice'.

The case examiners consider one year to be proportionate in this case to maintain public confidence and to send a message to the public, the profession and the social worker about the standards expected from social workers. In this instance, whilst the case examiners do not consider that the incident was of relatively low seriousness, they consider that the alleged conduct appears isolated in nature, and the social worker has demonstrated full insight and learning from the remediation undertaken. The case examiners do not consider that the social worker needs additional time to reflect upon their conduct, therefore three years is not necessary. Furthermore, the case examiners do not consider that the matter fell marginally short of the need to restrict practice, and therefore five years would be disproportionate and punitive. To confirm, the case examiners are satisfied that a warning of one year duration is the proportionate sanction.

The case examiners did go on to consider whether the next sanctions, conditions of practice and suspension, were more appropriate in this case. As the case examiners consider the risk of repetition is low, a conditions of practice order would not be necessary in this case and would be more commonly suited to cases relating to health, competence or capability. The case examiners considered that suspension from the register would also be a disproportionate and punitive outcome.

To conclude, the case examiners have decided to propose to the social worker a warning order of one-year duration. They will now notify the social worker of their intention and seek the social worker's agreement to dispose of the matter accordingly. The social worker will be offered 14 days to respond. If the social worker does not agree, or if the case examiners revise their decision regarding the public interest in this case, the matter will proceed to a final hearing.

Content of the warning

The case examiners formally warn the social worker as follows:

Driving whilst under the influence of alcohol is a serious matter. Your decision to drive on the occasion that led to your conviction, demonstrated a serious lack of judgement. You put yourself and members of the public at risk of harm.

Your conviction could have an adverse effect on the public's confidence in you as a social worker. It may also damage the reputation of the social work profession.

The case examiners remind the social worker of the following Social Work England professional standards (2019):

As a social worker, I will not:

5.2 Behave in a way that would bring into question my suitability to work as a social worker while at work, or outside of work.

This conduct should not be repeated. Any further criminal offences or similar matters brought to the attention of the regulator are likely to result in a more serious outcome.

Response from the social worker

The case examiners received a completed accepted disposal response form, dated 17 April 2025, which included the following declaration:

I have read the case examiners' decision and the accepted disposal guide. I admit the key facts set out in the case examiner decision, and that my fitness to practise is impaired. I understand the terms of the proposed disposal of my fitness to practise case and accept them in full.

Case examiners' response and final decision

The case examiners have considered the public interest in this matter and, as they have not been presented with any new evidence that might change their previous assessment, they are satisfied that it remains to be the case that the public interest in this instance may be fulfilled through the accepted disposal process.

The case examiners therefore direct that the regulator enact a warning order of 1 year duration.